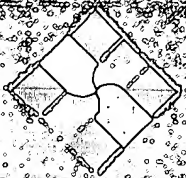




Xylan

Omni - MVA Hardware Overview



X^YLAN

What is it?

- ✓ 10 gigabit frame switch
- ✓ 6.6 million frames per second
- ✓ Compatible with OmniSwitch & OmniCell
 - User can save existing modules
- ✓ Optimized for 100BaseTx Ethernet
- ✓ OmniCell backplane

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Christopher Haywood, et al.
Application No.: 09/154,966
Filed: September 17, 1998
Title: DEDICATED BANDWIDTH DATA COMMUNICATION SWITCH
BACKPLANE

Grp./Div.: 2665
Examiner: Steven HD Nguyen

Docket No.: 39892/JEC/X2/111480

DECLARATION OF CHRISTOPHER HAYWOOD

Commissioner of Patents and Trademarks
Washington, D.C. 20231
Post Office Box 7068
Pasadena, CA 91109-7068

Commissioner:

I, Christopher Haywood, declare and state as follows:

1. Geoffrey C. Stone and I are the joint inventors of the subject matter described and claimed in this application.

2. While employed by Alcatel Internetworking, Inc. (AII), formerly known as Xylan Corporation, in Calabasas, California, I worked on creating the design and definition of the OmniSwitch Router, which was initially referred to as the Omni-MVA or Omni97. The subject matter of the above-identified application is embodied in the OmniSwitch Router, namely, in the switching fabric ASICS incorporated into the OmniSwitch Router.

3. As shown by at least the following facts, the invention claimed in this application was conceived of and reduced to practice by me and Geoffrey Stone prior to January 7, 1997.

4. The general hardware requirements of the OmniSwitch Router including the requirements and operation of the switching fabric ASIC

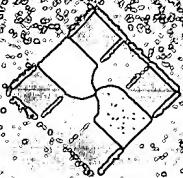
which embodies our invention is provided in a document entitled "Omni-MVA Hardware Overview." The general functional requirements of the OmniSwitch Router including the requirements and operation of the switching fabric ASIC which embodies our invention is provided in a Products Requirement Document entitled "Omni-MVA Network Switching System." These documents evidence conception of the claimed invention. A true and correct copy of the "Omni-MVA Hardware Overview" and "Omni-MVA Network Switching System" documents, except for the dates, which have been redacted, are attached hereto as Exhibits A and B to this Declaration.

5. On or before January 7, 1997, we finalized the requirements for the switching fabric ASIC and generated a model of the switching fabric ASIC. These acts constitute a reduction to practice of the claimed invention. This reduction to practice is evidenced by the document entitled "Omni97 Status Review." A true and correct copy of the "Omni97 Status Review" except for the dates, which have been redacted, is attached hereto as Exhibit C to this Declaration.

6. I declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful statements may jeopardize the validity of the application or any patent issued thereon.

Date: _____

Christopher Haywood



XYLAN

What's needed?

✓ New ASICs

- ❑ Frame Fabric
- ❑ "Super" CAM
- ❑ Mammoth II

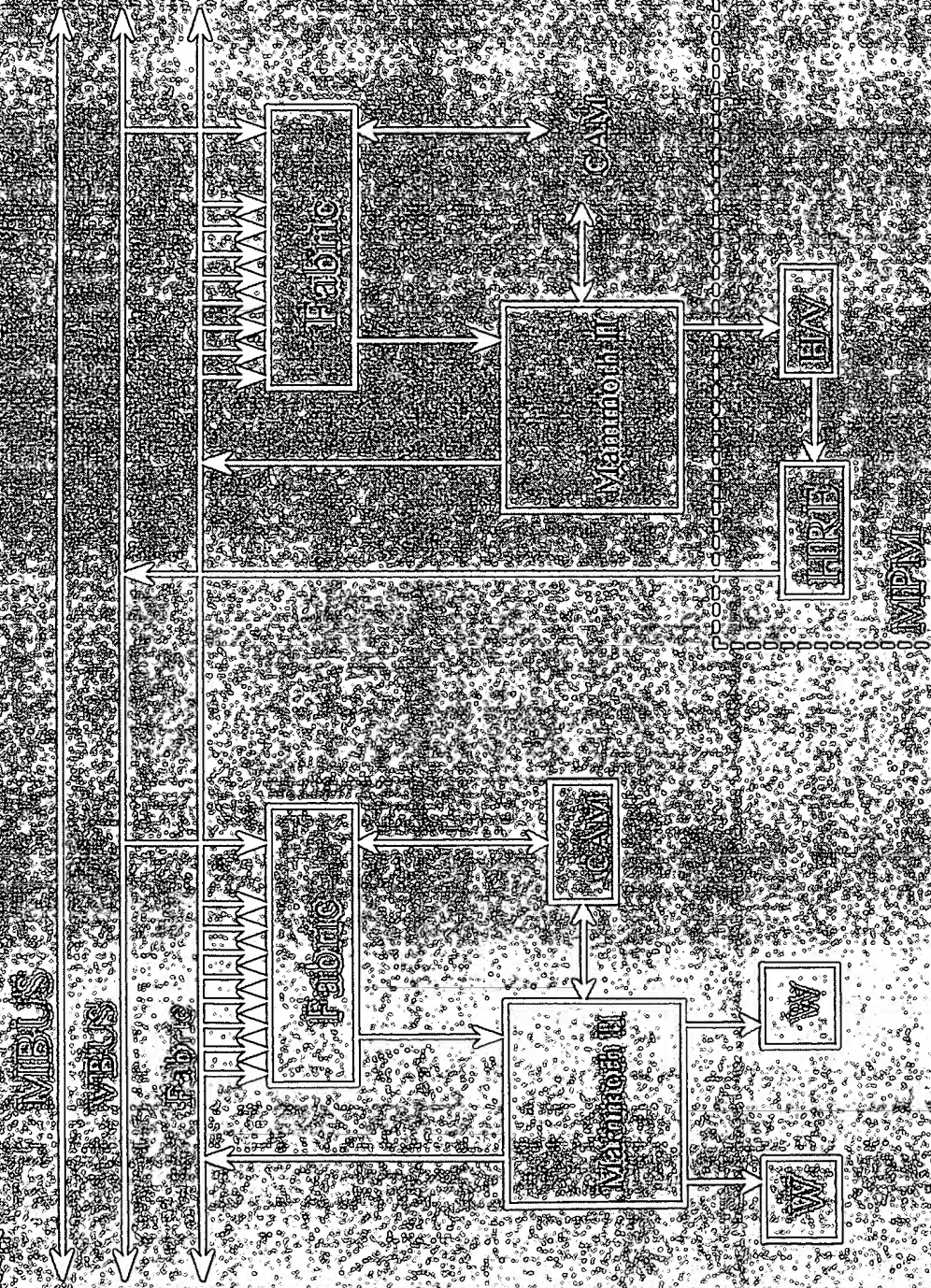
✓ New Modules

- ❑ MPM
- ❑ 100 BaseTX
- ❑ Gigabit Ethernet
- ❑ 24-32 port 10BaseT



XTREME

System Structure





Frame Fabric

xxTAN

✓ Eight 1.24 gigabit links

■ 16 bits 80 Mhz, GTL

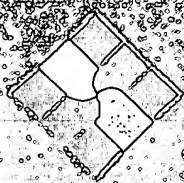
✓ VBUS format

✓ VBUS claim process

✓ "real" VBUS as well

■ Interoperability with OmniSwitch cars

✓ Synchronous design



XyTAN

"Super" CAM

✓ Lookup every 5 clocks

✓ Dual-ported

□ Fabric & Mammoth ports

✓ Eliminate command overhead



Mammoth II

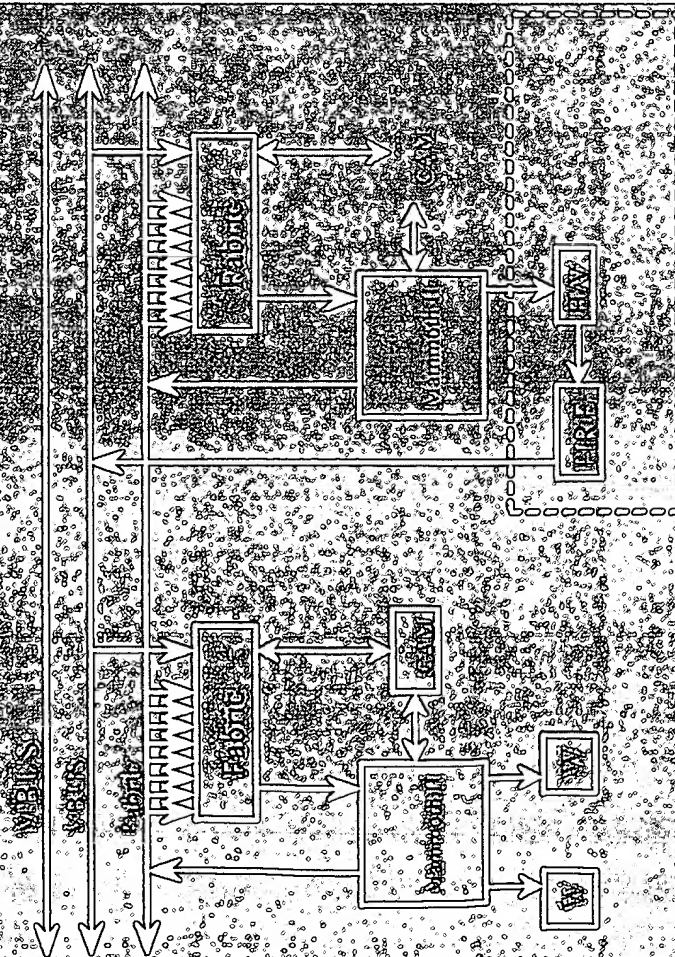
XYLAN

✓ Modified two HBUS to use fabric

✓ Modified for new CAM



XXLAIN

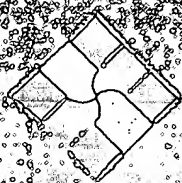


✓ Claiming decision made every 5 clocks

6.6 Million/sec

✓ Each slot gets transmit opportunity every 40 clocks

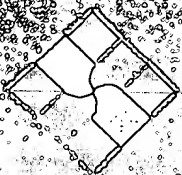
✓ Fabirc has internal buffering for all links



XYLAN

Backward Compatibility

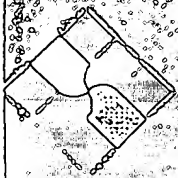
- ✓ Enabled by use of OmniCell backplane
- ✓ New MPM acts as a bridge
 - 1.24 gigabits bandwidth
- ✓ Unused links available for OmniCell



XyLAN

New MPM

- ✓ Based on current MPM design
- ✓ Addition of Fabric and Mammoth-II
 - Conversion of HBUS to VBUS
- ✓ HRE support
 - Full 10 levels
- ✓ RMON option



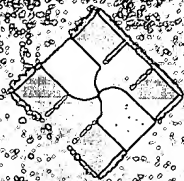
XILAN

New Switching Modules

✓ 8 port 100BaseTx

✓ 32 port 10BaseT

✓ 1 port Gigabit Ethernet



XYLANI

Cost Targets



XYLAN

Packaging

- ✓ 5 and 9 slot chassis
- ✓ 1.4" slot pitch
- ✓ Dual load sharing power
- ✓ Hot swap fans, power, modules



Omni-MVA Network Switching System

PRODUCT REQUIREMENTS DOCUMENT

PRELIMINARY

This specification contains information of a confidential and/or proprietary nature. Neither this specification nor any of the information contained herein may be reproduced, used, or disclosed to or for the benefit of any other person or entity without the express written consent of Xylan Corporation.



REVISION HISTORY

	Date	Revision Description	Changed by:	Approved by
	4/24/96	Initial Release for comment	G. Stone P. Terry C. Haywood	

PRELIMINARY



Table of Contents

1 OVERVIEW.....	1
2 APPLICATIONS.....	Error! Bookmark not defined.
3 XYLAN POSITIONING	1
4 FUNCTIONAL OVERVIEW	Error! Bookmark not defined.
4.1 PHYSICAL PACKAGING.....	1
4.2 ARCHITECTURE	1
5 COST TARGETS	Error! Bookmark not defined.
6 AVAILABILITY.....	5

PRELIMINARY



1 Overview

This document describes the functional requirements of the Omni-MVA switch. The Omni-MVA switch is a follow-on to the OmniSwitch product with greatly increased bandwidth, port density and switching performance over the existing OmniSwitch and stackable products.

Omni-MVA utilizes a 10.24 gigabit non-blocking frame switching fabric, with a peak performance of 6.6 million frames per second. Individual switching modules are allotted 1.28 gigabits of bandwidth.

Omni-MVA uses the Omni 5X and 9X backplanes, so that existing chassis can be upgraded to Omni-MVA. Existing OmniSwitch modules can be used in conjunction with Omni-MVA. As part of this development, a new chassis is planned that increases the width of slots to 1.4 inches, allowing higher port density on switching modules. These new modules will not be useable in the older chassis.

2 Xylan Positioning

The Omni-MVA switch is a direct response to the accelerating acceptance of 100 megabit Ethernet, as well as being a response to certain competitive products now in development. At introduction, Omni-MVA will be the highest performance LAN switch available on the market. It will also have the lowest price-per-port of any full-function LAN switch.

The Omni-MVA is designed to provide non-blocking, wire-rate switching for up to 64 100BaseTx LANs, or up to 256 10BaseT ports.

3 Architecture

The Omni-MVA architecture consists of a high performance, non-blocking, frame switching fabric interconnecting frame switching modules. Each switching module has 1.28 gigabits of bandwidth into the fabric. Total fabric bandwidth is 10.24 gigabits per second.

A new high-performance CAM enables a frame switching performance of 6.6 million frames per second.

All Omni-MVA modules are derived from a common set of ASIC building blocks. This allows individual modules to be tailored to specific cost/performance targets while nearly eliminating the need for additional ASIC development.



3.3.1 FABRIC-II

The FABRIC-II ASIC contains the drivers that form the communication links that make up the switching fabric, as well as the logic that performs the VBUS-style claiming functions.

3.3.1.1 IOP

The IOP provides buffer management for native ATM interfaces. It has no SAR capability. Each IOP is capable of interfacing

3.3.1.2 MIOP

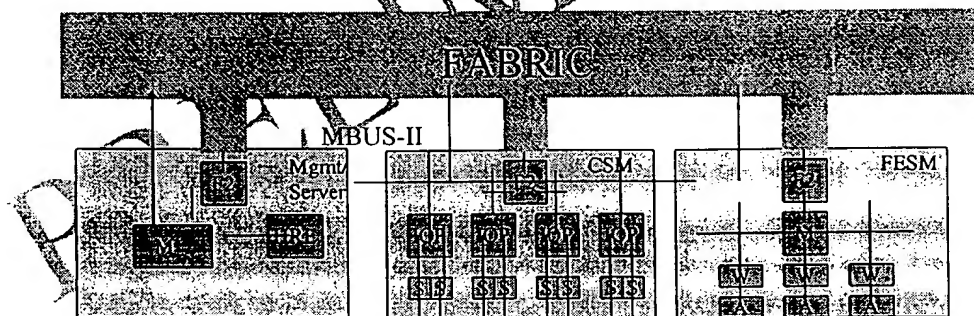
3.3.1.3 CAM

3.3.1.4 Whistler

3.3.1.5 Whistler 1000

3.3.2 ASIC set

Frame switching modules incorporate a SAR function to interface to the backplane. Server modules will be provided to provide higher level functions such as routing, and full RMON support. The diagram below illustrates the architectural concept.



Omni97 Architecture

The diagram above shows the interconnection of the three types of modules. The Fabric speed is (TBD probably about 15 Gbps) and all data between modules crosses the fabric. The MBUS-II performs a similar role to the OmniSwitch MBUS but is cost optimized and provides for multiple bus masters.

The key new element to be developed for the Omni-97 is a new Fabric ASIC (F2) which provides an interface into the Fabric; supports embedded SAR functionality for AAL5; and a frame bus interface to the Mammoth; and cell bus interfaces into the IOPs. This will be a large ASIC and will likely gate the entire project.

The Mgmt/Server module is a module capable of performing as a management processor, route server (layer three switching), ATM call processing server, or RMON server. The multimaster



capability of the MBUS-II will allow for multiple management/server entities to take on independent roles of managing certain functions.

The FESM module is a 24 port 10/100 Ethernet switching module. It is intended that this module will use the Mammoth, Whistler, and Aspen asics. This module will perform local switching between ports and will send frames to the fabric only when needed for inter-module traffic. Several variants of this module will be built, including an HSM-like unit for support of FDDI, Token Ring.

The CSM module is a 8 port OC3 ATM switching module. It is intended that this module will use the IOP asic. A 16 port OC3 UTP, 2 port OC12, and 24 port ATM25 CSM modules are also planned.

A WAN interface module is also planned which will incorporate CBR, Frame and Cell switching functions on a single module.

Since all frame movement between LAN modules through the Fabric will be Cell based, X-LANE, LANE, or MPOA encapsulations need to be supported within the switch as well as outside the switch.

3.4 Features

4 Performance Summary

The target fabric performance is 1.28 gigabits per slot for a total of 10.24 gigabits second total throughput. Each module has access to a total of four 1 gigabit fabric links for a per-slot aggregate of 4 gigabits.

5 Cost Targets

The following table indicates cost targets for the products described in this document. Price targets reflect an 80% gross margin at list and 60% gross margin at 50% discount.

Model	Cost Target*	Price Target (US List)
5 Slot Chassis with Mgmt + 1 PS	1,600	\$8,000
13 Slot Chassis with Mgmt + 1 PS	\$2,100	\$10,500
Route Server	\$1,000	\$5,000
RMON Server	\$1,000	\$5,000
Call Processing Server	\$1,000	\$5,000
ESM - 24	\$960	\$4,800
FSM - 2	\$960	\$4,800
CSM25 - 24	\$960	4,800
CSMOC3 - 8F	\$1920	\$9,600
CSMOC12 - 2	\$1920	\$9,600
CSM25 - 24	\$960	4,800

Table 5—Cost and price targets

* Includes 25% manufacturing burden



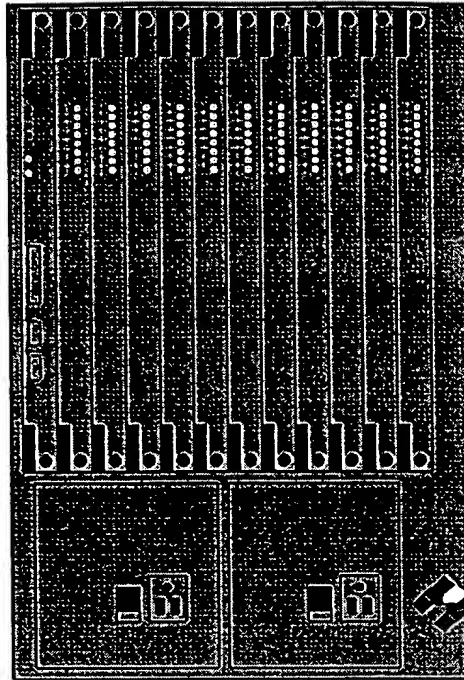
6 Availability

The modules described in this document are targetted for the following releases:

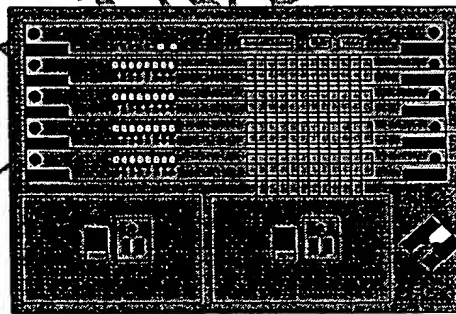
- | | |
|---------------------------|------------------------|
| 1. Omni97-13 Chassis | Release 4.0 (GA Q2 97) |
| 2. Omni97-5 Chassis | Release 4.1 (GA Q3 97) |
| 3. 10/100 ESM-Tx-24 | Release 4.0 (GA Q2 97) |
| 4. 10/100 ESM-Fx-12 | Release 4.0 (GA Q2 97) |
| 5. OC3-UTP-16 | Release 4.0 (GA Q2 97) |
| 6. OC3-UTP-12 | Release 4.0 (GA Q2 97) |
| 7. OC12-SM-4 | Release 4.0 (GA Q2 97) |
| 8. FDDI-2 (HSM/4) | Release 4.1 (GA Q3 97) |
| 9. Token Ring-12 (HSM/4) | Release 4.1 (GA Q3 97) |
| 10. Frame Relay-8 (HSM/4) | Release 4.1 (GA Q3 97) |
| 11. ISDN BRI-4(HSM/4) | Release 4.1 (GA Q3 97) |

6.1 Packaging

The Omni-MVA will be implemented with two chassis sizes - a 9 Slot and a 5 slot. All I/O and serviceability will be provided through the front. The packaging concept is illustrated in the drawing below.



Omni-MVA 9 Slot Chassis



Omni-MVA - 5 Slot Chassis

The Omni-MVA chassis' are required to operate in the same environment as the OmniSwitch - Phone closets and data centers. The Omni-MVA chassis requirements are:

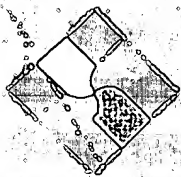
- to be rack mountable in a standard 19" equipment rack
- be wall mountable via optional mounting brackets
- provide service for all cabling and maintenance through the front
- to operate over a temperature range of 15 - 45 degrees C
- provide temperature monitoring to network management
- be made of light weight aluminum



- provide adequate front panel space to support 32 RJ45 connectors

Port count	9 Slot: up to 288 Ethernet or ATM ports 9 Slot: up to 96 Ethernet or ATM ports
Management Interface	One 10 BaseT interface and one, RS-232C, 9-pin "D" connector, configured per IBM AT serial port DTE and one as DCE.
Standards Compliance	IEEE 802.3; CSA 950; CISPR 22, Class A; CISPR 22, Class B (Fiber and STP only); EN50081-1 / EN55022, 1987; EN50082-1, 1992; EN50091-1 / EN55022, 1987; EN60950; FCC Part 15, Subpart B (Class A); IEC 801-2, 1991; IEC 801-3, 1984; IEC 801-4, 1988; UL 1950; VCCI V-3/93.01; preparing for ISO 9000.
Power source	90 to 265 VAC, 47 to 63 Hz -48VDC
Ambient operating temperature range	0 to 45 degrees C
Physical dimensions	12 Slot: 17.5" x 21" x 14" 5 Slot: 17.5" x 10.5" x 14"

Omni-MVA Specifications

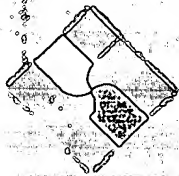


Xylan

Omni97 Status Review

Proprietary Information

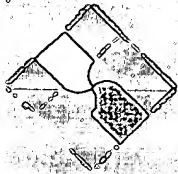
G. Stone



XYLANT

Status

- ✓ CAM design complete
- ✓ Initial fabric modelling complete
- ✓ Fabric requirements defined, verilog in progress
- ✓ Quotes in hand for CAM and Fabric ASICs
- ✓ LED Module quoted
- ✓ PRD in progress



XYLANT

Cam

✓ 48 bit compares

✓ 1024 entries, cascadable

✓ Integrated RMON support

✓ Compare possible every 2 clocks @ 80MHz

☐ Working to improve to 1 clock

✓ Atmel

☐ \$9.38

☐ \$220K NRE

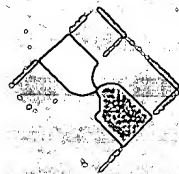
✓ LSI Logic

☐ \$10.32

☐ \$130K NRE

Proprietary Information

© Stone



XYLAN

Fabric

✓ 8 - 1.28 Gigabit links

✓ 17+ million frames/sec sustained

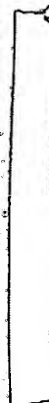
✓ 2+ Million frames/sec per link

□ 14 ports @ 100Mbps wire-speed

✓ VBUS format

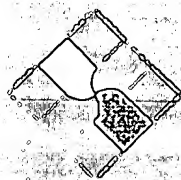
✓ 640/960 Mbps VBUS, receive only

✓ Quote from LSI - \$79.94, \$195K NRE



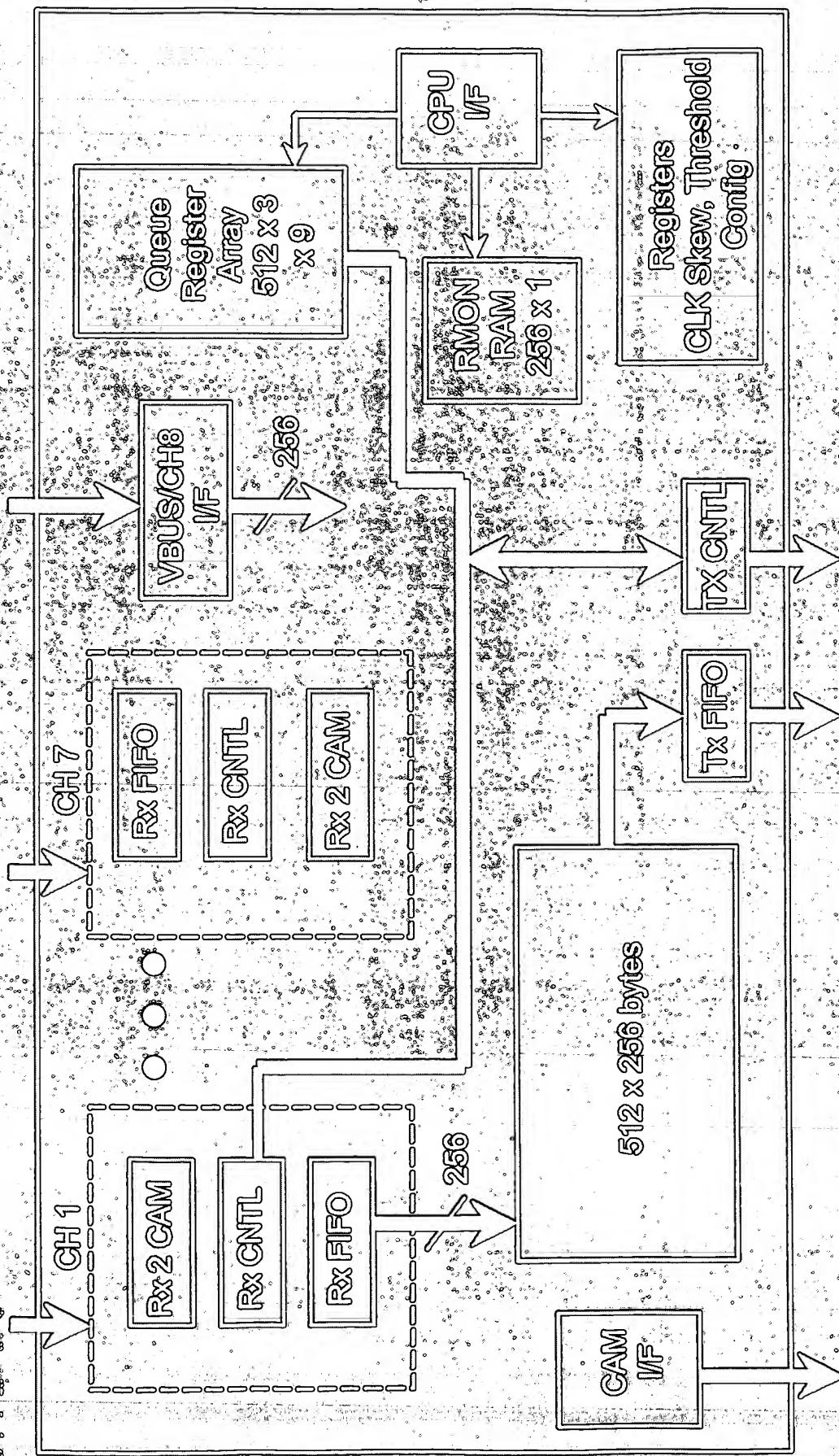
Proprietary Information

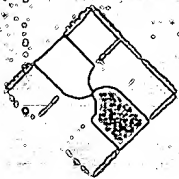
G. Stone



XILINX

Fabric ASIC Block Diagram





XYLETAN

LED Module

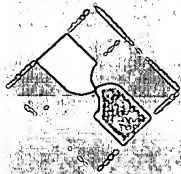
✓ 4 x 9 Array of green LEDs

✓ 1.64" x .79" x .2"

✓ Quote from Siemens

□ \$9.25 - 25k pieces

□ \$57K NRE



Xylan

Ethernet modules planned

✓ 8 x 100 Mbps Full Duplex

✓ 16 x 100 Mbps

✓ 24 x 100 Mbps

□ 32 ports, if LED module works out

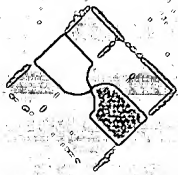
✓ 32 x 10 Mbps

✓ 2 x 1000 Mbps



Proprietary Information

G. Stone



XYLAN

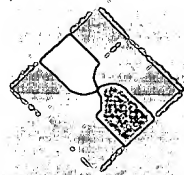
Cost Targets - Burdened

	<u>Board</u>	<u>List/Port</u>
8 x 100BaseFx	\$1600	\$1000
16 x 10/100	\$900	\$280
32 x 10/100	\$1200	\$190
32 x 10	\$950	\$148
Gigabit Ethernet	\$1600?	\$4000



Proprietary Information

© Stone



XYLEM

Other Modules

✓ 2 x OC-12

✓ MPM



Proprietary Information

G. Stone



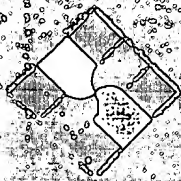
XYLEM

Schedule

✓ ASIGs in-house [redacted]

✓ First shipments [redacted]

✓ Currently about 2 weeks ahead



XILINX

Issues

✓ May need to add cost to achieve wire-speed on 16-port module

- ☐ Mammoth may not have enough SDRAM bandwidth
- ☐ Would increase cost/port by about \$8, or about \$50/list



XYLAN

Next steps

- ✓ Negotiate for lower NREs
- ✓ Select CAM and Fabric vendors
- ✓ Issue purchase orders
- ✓ Complete PRD

**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ **BLACK BORDERS**
- ☐ **IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- ☐ **FADED TEXT OR DRAWING**
- ☒ **BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- ☐ **SKEWED/SLANTED IMAGES**
- ☐ **COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- ☐ **GRAY SCALE DOCUMENTS**
- ☐ **LINES OR MARKS ON ORIGINAL DOCUMENT**
- ☐ **REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- ☐ **OTHER:** _____

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.